



Data Exchange Table - Lender Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Lender	GA	Letter or phone call to GA requesting that the GA contact FSA on the lender's behalf for enrollment with FSA for Title IV funds	Ad hoc	Paper Form	Mail	Phone Call or Letter	1
GA	FSA CFO	Request phone call/letter for lender to enroll with FSA	Ad hoc	Paper Form	Mail	N/A	2
FSA CFO	FMS	LID is created in FMS. FMS enters the TIN and email address on screen - number is generated sequentially	Ad hoc	N/A	Manual	N/A	3
FMS	Lender	System generated letter containing lender ID and enrollment information	Ad hoc	Email Message	Email	N/A	4
Lender	FSA CFO	OPA and LaRS/FMS Security Forms	Ad hoc	Email Message or Paper Form	Email or Mail	The forms are downloaded, completed, and signed	5
Lender	FMS	LAP Form	Ad hoc	Web Form	Web Interface	Completed online	6
FSA CFO	Lender	FSA CFO sends denial reasons to the lender	Ad hoc	Manual	N/A	This letter is sent via mail	8

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Data Exchange Table - Lender Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
FMS	Lender	Confirmation email notifies lender of successful Title IV enrollment	Ad hoc	Email Message	Email	FSA CFO sets approval flag on FMS	10
FMS	LaRS, PEPS and NSLDS	FMS automatically updates LaRS, PEPS and NSLDS with eligibility information	Ad hoc	Flat File	FTP	Once approved FMS updates LaRS, PEPS and NSLDS with system eligibility information	10
NSLDS	Participation Management System	Participation files containing the system eligibility information	Daily	FTP	SAIG		11
Lender	Participation Management System	SAIG enrollment forms	Ad hoc	Web Form	Web Interface	Lender completes and submits to Participation Management, enrollment for LaRS and NSLDS using enrollment website (SAIG)	12
Lender	Participation Management System	Lender downloads and completes signature form, then submits it to PM	Ad hoc	Paper Form	Mail	The signature pages are signed by the DPA and CEO of the organization	13
Participation Management	NSLDS	Participation Management sends NSLDS enrollment confirmation	Daily	Flat File	SAIG	Participation Management processes and sends via SAIG	15

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Data Exchange Table - Lender Enrollment

**Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows**

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Participation Management	Lender	SAIG usage information and Mailbox TG#	Ad hoc	Email Message	Email	Participation Management sends the lender a Mailbox TG Number	17
Participation Management	SAIG	Mailbox TG#	Daily	Flat File	FTP	Participation Management sends mailbox TG# via secure FTP to SAIG	17
Lender	NSLDS	Online training course	Ad hoc	Web Form	Web Interface	The DPA has to complete the NSLDS training course before NSLDS access	19
NSLDS	Lender	Online access information	Ad hoc	Email Message	Email	NSLDS sends online access information	20
Lender	DLCS and FP Data Mart	Demographic information	Ad hoc	Web Form	Web Interface	"Other System"	21
Lender	FMS	Updated enrollment information	Ad hoc	Web Form	Web Interface	Information updates	N/A
Lender	Participation Management	Updated enrollment information	Ad hoc	Web Form or Paper Form	Web Interface	Signature pages are required if changes include DPA or CEO demographic information	N/A
Lender	FP Data Mart, DLCS and DMCS	Updated enrollment information	Ad hoc	Web Form	Web Interface	Information updates	N/A

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Data Exchange Table - Guaranty Agency Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
State Governor's Office	Department of Education (ED Secretary's Office)	Request letter from the Governor is sent to Department of Education	Ad hoc	Paper Form or Email Message	Mail or Email	The letter or email is addressed to the Secretary of Education	1
GA	ED Secretary's Office	Signed Participation Agreement form	Ad hoc	Paper Form	Mail	Agreement form received by the Office of the Secretary of Education	3
ED Secretary's Office	FP Services and FSA CFO representative	Request letter from the Governor is sent to FP Services, Regional Offices and FSA CFO for processing	Ad hoc	Paper Form	Mail	Letter sent to FP services for processing	4
FP Services representative	FMS	FP Services representative manually enters the GA code into FMS	Ad hoc	N/A	Mail	N/A	5
FMS	GA	GA code confirmation	Ad hoc	Email Message	Email	The confirmation also contains agreement and security form location	6
GA	FSA CFO Office and FP Services	OPA and FSA/FMS Security Forms	Ad hoc	Email Message or Paper Form	Email or Mail	Completed and signed forms	7

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Data Exchange Table - Guaranty Agency Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
FP Services representative	FMS	FP Services representative manually enters the GA information into FMS	Ad hoc	N/A	Manual	N/A	10
FMS	NSLDS	Eligibility Updates	Ad hoc	N/A	Manual	FP Services personnel manually updates NSLDS	11
FMS	GA	Confirmation email	Ad hoc	Email Message	Email	FMS approval email containing all services provided to the GA	11
FSA CFO representative	PEPS	FSA CFO representative manually update GA's eligibility information on PEPS	Ad hoc	N/A	Manual		12
NSLDS	Participation Management	NSLDS sends participation files containing GA's systems' eligibility information	Nightly	Flat File	SAIG	Nightly batch Files	13
GA	Participation Management	SAIG enrollment forms	Ad hoc	Web Form or Paper Form	Web Interface or Mail	GA completes online SAIG enrollment form and submits online or via mail	15

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Data Exchange Table - Guaranty Agency Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
GA	Participation Management	Signature pages signed by the CEO and DPA of the organization	Ad hoc	Paper Form	Mail	The Signature pages must be submitted for the organization's enrollment to be processed	16
Participation Management	SAIG	Processed data containing GA enrollment access	Daily	Flat File	FTP	Participation Management uses secure FTP to update SAIG	17
SAIG	NSLDS	Enrollment Confirmation	Daily	Flat File	SAIG	SAIG sends the GA's systems enrollment confirmation	18
Participation Management	GA	TG# information	Ad hoc	Email Message	Email	Participation Management enrollment completed	20
GA	NSLDS	Online training course	Ad hoc	Web Form	Web Interface	The DPA has to complete NSLDS training course before NSLDS access	22
NSLDS	GA	NSLDS Online access information	Ad hoc	Email Message	Email	PM Enrollment	23

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Data Exchange Table - Guaranty Agency Enrollment

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
GA	FP Data Mart, DLCS, and DMCS	Demographic information	Ad hoc	Web Form	Web Interface	Other systems enrollment outside of Participation Management	24
GA	FMS	Updated enrollment information	Ad hoc	Web Form	Web Interface	Information updates	N/A
GA	Participation Management	Updated enrollment information	Ad hoc	Web Form or Paper Form	Web Interface or Mail	Signature pages are required if changes include DPA or CEO demographic information	N/A
GA	FP Data Mart, DLCS and DMCS	Updated enrollment information	Ad hoc	Web Form	Web Interface	Information updates	N/A

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Data Exchange Table - Lender Program Review Conducted by a Guaranty Agency

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	Lender	Notification Letter	Every 2 years	Paper Form or Email Message	Mail or Email	Guaranty agency sends a notification letter to lender informing the organization of Program Review selection	3
Lender	Guaranty Agency	Lender financial data	Ad hoc	N/A	Manual	Guaranty agency downloads data from the lender or utilizes data within its own systems about the lender to develop a profile and help prepare for the On-Site Review	4
Guaranty Agency	Department's Office of the Inspector General (OIG)	Notice and supporting documentation	Ad hoc	Paper Form or Email message	Mail or Email	If potential fraud or abuse with respect to FFELP has been suspected, letter and docs are sent to OIG by the guaranty agency	8
Guaranty Agency	PEPS	Review Record of lender	Every 2 years	N/A	Manual	Guaranty agency creates a review record of the lender in PEPS after the On-Site Review	10

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Data Exchange Table - Lender Program Review Conducted by a Guaranty Agency

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	Lender & FP Services Regional Office	Program Review Report	Every 2 years	Paper Form	Mail	Sent to lender after Exit Conference with copy sent to designated FP Services Regional Office	11
Guaranty Agency	PEPS	Issue Date of Program Review Report	Every 2 years	N/A	Manual	If findings are found, they are manually entered into PEPS by the guaranty agency	11
Guaranty Agency	Lender	Review Closure Notice	Every 2 years	Paper Form	Mail	Sent to lender and a copy is sent to FP Services Regional Office and Department stating the review is closed	23
Guaranty Agency	PEPS	Closure Date	Every 2 years	N/A	Manual	If there are no findings then the closure date is manually entered into PEPS by the guaranty agency	24

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Data Exchange Table - Lender Program Review Conducted by Financial Partner Services

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	Lender or Guaranty Agency	Notification Letter	Annually	Paper Form or Email Message	Mail or Email	Financial Partner Services sends a notification letter to lender or guaranty agency informing the organization of Program Review selection	2
Financial Partner Services	PEPS	Review record of entity, including review type and schedule	Annually	N/A	Manual	Financial Partner Services develops a profile and manually enters entity information into PEPS	4 and 11
Financial Partner Services	Department's Office of Inspector General (OIG)	Notice and supporting documentation	Ad hoc	Paper Form or Email Message	Mail or Email	If potential fraud or abuse with respect to FFELP has been suspected, letter and documents are sent to OIG by the guaranty agency	9 and 16

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Data Exchange Table - Lender Program Review Conducted by Financial Partner Services

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	Lender or Guaranty Agency and FP Services Regional Office	Program Review Report	Annually	Paper Form	Mail	Sent to lender or guaranty agency with copy sent to FP Services Regional Office. Please note that if an On-Site Review is performed, the Program Review Report is sent after the Exit Conference is complete	18
Financial Partner Services	PEPS	Issue date of Program Review Report	Annually	N/A	Manual	The Program Review Report date of issue is manually entered into PEPS	18
Financial Partner Services	PEPS	Deficiency Code for Findings	Annually	N/A	Manual	If findings are discovered, deficiency codes for the findings are manually entered into PEPS	27
Financial Partner Services	Lender or Guaranty Agency	Review Closure Notice	Annually	Paper Form	Mail	If there are no findings or when issues have been resolved, Financial Partner Services sends a letter to the lender or guaranty agency stating the review is closed	28

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Data Exchange Table - Lender Program Review Conducted by Financial Partner Services

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	PEPS	Closure Date	Annually	N/A	Manual	Once the Program Review is closed, Financial Partner Services personnel manually enter the closure date into PEPS	29

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Data Exchange Table - Lender Annual Compliance Audit

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
LaRS	PEPS	Portfolio Data	Monthly	Flat File	FTP	Sent via monthly feed	1
Lender	Financial Partner Services	Compliance Audit	Annually	Paper Form	Mail	Sent within 6 months after end of lender's fiscal year	10
Financial Partner Services	PEPS	Audit Data: Receipt date, Award year, CPA data, Audit type	Annually	N/A	Manual	Once the audit is received by Financial Partner Services staff	10
Financial Partner Services	Lender	Missing Audit Letter	Ad hoc	Paper Form	Mail	Sent if audit is outstanding and no Extension has been requested	5
Financial Partner Services	Lender	Suspension Letter	Ad hoc	Paper Form	Mail	Sent if audit is outstanding 15 days after Missing Audit Letter is sent	10 and 25
Financial Partner Services	Lender	Email requesting for additional information	Ad hoc	Email Message	Email	Sent to lender if there is any missing information in the audit	13
Financial Partner Services	Lender	Full Resolution Letter	Ad hoc	Paper Form	Mail	Manually generated in PEPS if there are major findings	18
Financial Partner Services	Lender	Abbreviated Resolution Letter	Ad hoc	Paper Form	Mail	Manually generated in PEPS if there are minor findings	19

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Data Exchange Table - Lender Annual Compliance Audit

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	PEPS	Findings entered: Audit type, Finding Codes, Finding Types	Annually	N/A	Manual	If there are findings, data about the findings is entered manually into PEPS by Financial Partner Services staff	16 and 17
Financial Partner Services	Lender	Closure Letter	Annually	Paper Form	Mail	Once the audit is complete, a closure letter is sent to the lender	24
Financial Partner Services	PEPS	Closure Date	Annually	N/A	Manual	Once the audit is complete, the closure date is manually updated into PEPS by FP Services staff	26

Current as of 03/23/04



Data Exchange Table - Guaranty Agency Annual Compliance Audit

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	Federal Audit Clearinghouse	Annual Compliance Audit	Annually	Paper Form	Mail	Guaranty agency sends audit to Federal Clearinghouse for review	1
Federal Audit Clearinghouse	Guaranty Agency	Letter requesting for clarification	Ad hoc	Paper Form	Mail	Sent to guaranty agency if information not complete	3
Federal Audit Clearinghouse	ED CFO	Annual Compliance Audit	Annually	Paper Form	Mail	Audit is sent to ED CFO as initial FSA point of contact	4
CMO	PEPS	Audit Data: GA name, Audit type, Date Received	Annually	N/A	Manual	CMO personnel manually enter information into PEPS	4
Schools Channel	Financial Partner Services	Annual Compliance Audit	Annually	Paper Form	Mail	Schools Channel receives and sorts audits then sends it to Financial Partner Services	5
Financial Partner Services	PEPS	Audit Data: Date Filed, Assignment Data, Type Code, Finding Codes, Recommendations	Ad hoc	N/A	Manual	Financial Partner Services personnel manually enter information into PEPS	6 to 10
Financial Partner Services	Guaranty Agency	Full Resolution Letter	Ad hoc	Paper Form	Mail	Manually generated in PEPS if there are major findings and sent to guaranty agency	13

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Data Exchange Table - Guaranty Agency Annual Compliance Audit

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	Guaranty Agency	Abbreviated Resolution Letter	Ad hoc	Paper Form	Mail	Manually generated in PEPS if there are minor findings and sent to guaranty agency	12
Financial Partner Services	Guaranty Agency, Department's Office of the Inspector General (OIG), & FP Services Regional Office	Closure Letter	Annually	Paper Form	Mail	Closure Letter is sent to the guaranty agency with copies sent to OIG and FP Services Regional Office if there are no findings or when findings have been resolved and the audit is closed	17
Financial Partner Services	PEPS	Closure Date	Annually	N/A	Manual	Financial Partner Services personnel manually enter closure date into PEPS	18

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Data Exchange Table - LEAP/SLEAP Award Origination and Disbursement

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
State Agency	Financial Partner Services	Performance Report	Annually	Web Form or Paper Form	Web Interface or Mail	This report is utilized in the Formula Grant Calculation to capture spending data	N/A
State Agency	Financial Partner Services	Online 1288 form: State Agency demographic data updates with requested LEAP/SLEAP award funds	Annually	Web Form or Paper Form	Web Interface or Mail	This form is generally submitted to Financial Partner Services via mail or by fax	1
Financial Partner Services	State Agency	Rejection Phone Call or Letter	Ad hoc	N/A or Email Message	Phone Call or Email	If form is not received by the application deadline and there has been no extension granted, then a state agency's application is rejected and the rejection is conveyed via email or phone call	4
Financial Partner Services	State Agency	Rejection Letter	Ad hoc	Email Message	Email	If a state agency is deemed ineligible for LEAP/SLEAP funds, then a Rejection Letter is sent to them stating they are ineligible	7

Current as of 03/23/04



Data Exchange Table - LEAP/SLEAP Award Origination and Disbursement

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Financial Partner Services	GAPS	Financial Award Data	Ad hoc	N/A	Manual	Financial Partner Services manually enters awards into GAPS system	9
Financial Partner Services	State Agency	Award Notification Letter	Ad hoc	Paper Form	Mail	After data is entered into GAPS, an award letter is automatically generated and sent to the state agency	10
GAPS	FMS IPP files	Updates from GAPS	Daily	Flat File	FTP	FMS is updated via updates to its Interim Process Payment (IPP) program files from GAPS	11
FMS	ED CFO FMSS	Updates from GAPS	Daily	Flat File	FTP	FMS sends update via GL to GL interface to FMSS	11
GAPS	State Agency	Payments	Ad hoc	N/A	EFT	A state agency draws down funds online from FSA	11
Financial Partner Services	State Agency	Fund Remittance Request	Ad hoc	Email Message	Email	If Financial Partner Services see unused funds in GAPS, a request letter is sent out to state agency for them to remit all unused funds to FSA	14
State Agency	GAPS	Remittance Payments	Ad hoc	N/A	EFT	FSA receives remitted funds from a state agency	16

Current as of 03/23/04



Data Exchange Table - Direct Loan Consolidation

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Borrower	DLCS	Combination Direct Loan Consolidation Application and Promissory Note	Ad hoc	Paper Form or Web Form	Mail or Web Interface		1
Direct Loan Consolidation Group	Lender	A Loan Verification Certification (LVC) or Electronic Verification Certification (EVC) Request	Ad hoc	Web Form or Flat File or Paper Form	Web Interface or FTP or Mail	Department requests information from the underlying loan holders (FFEL lenders)	5
Lender	Direct Loan Consolidation Group	A Loan Verification Certification (LVC) or Electronic Verification Certification (EVC) Response	Ad hoc	Web Form or Flat File or Paper Form	Web Interface or FTP or Mail	Underlying loan holder (FFEL lender) verifies the underlying loan information	6
Direct Loan Consolidation Group	Borrower	Loan Summary/Disclosure Statement	Ad hoc	Paper Form	Mail	Loan information for each loans listed on the consolidation application is sent to the borrower for confirmation	9
DLCS	DLSS	Consolidated Loan Information	Daily	Flat File	FTP	The newly originated Consolidated Direct Loan information is sent to DLSS for servicing	13
DLSS	NSLDS	Direct Loan information	Weekly	Flat File	FTP	The new (Consolidated) Direct Loan information is reported	14

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Data Exchange Table - Direct Loan Consolidation

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
DLCS	FMS	Accounting information to process the payoff for underlying FFEL lenders	Daily	Flat File	FTP		15
FMS	GAPS (ED CFO)	Interim Payment Process File	Daily	Flat File	FTP	Payoff transactions to enable payoff for FFEL lenders	16
GAPS (ED CFO)	Treasury	Payoff details for underlying FFELs	Daily	Flat File	FTP	GAPS provided payoff information to enable the actually payoff transactions by Treasury	17
Treasury	Lender	Payoff funds for underlying FFELs	Ad hoc	N/A	EFT	For lenders with Electronic Funds Transfer (EFT), funds are transferred to the lenders account for loan payoff	21
Treasury	Direct Loan Consolidation Group	Payoff funds for underlying FFELs	Ad hoc	N/A	EFT	Lender payoff funds are sent to the department's consolidation account for lenders without EFT	19
Direct Loan Consolidation Group	Lender	Check drawn on the loan consolidation account for payoff	Ad hoc	Paper Check	Mail	A paper check drawn from the Department's consolidation account is sent to lenders without EFT	20

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Data Exchange Table - Direct Loan Consolidation

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Direct Loan Consolidation Group	Lender	Roster Payoff Confirmation	Ad hoc	Paper Form or Web Form	Mail or Web Interface	Confirmation of underlying loan payoff for FFEL lenders	24
Lender	Guaranty Agency	FFEL information (NSLDS manifest)	Quarterly (at least)	Flat File (Tape) or Flat File (Diskette) or Paper Form	Mail	Report FFEL status a paid due to consolidation	25
Guaranty Agency	NSLDS	FFEL information	Monthly (at least)	Flat File (Tape) or Flat File	Mail or SAIG	Report that a FFEL has been paid due to consolidation	26

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Data Exchange Table - FFEL Consolidation

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Borrower	Lender	FFEL Consolidation Loan Application	Ad hoc	Paper Form or Web Form	Mail or Web Interface	This application may include a Promissory note; the decision is up to the lender	1
Lender	Direct Loan Servicing Group	Loan Verification Certification (LVC) request for underlying Direct Loan information	Ad hoc	Paper Form	Mail		2
Direct Loan Servicing Group	Lender	Loan Verification Certification (LVC) response with underlying Direct Loan information	Ad hoc	Paper Form	Mail		3
Lender	Borrower	Promissory note or a disclosure statement	Ad hoc	Paper Form	Mail	A promissory note will be sent to the borrower if not included in the initial application, otherwise a disclosure will be sent	4
Borrower	Lender	Signed promissory note or disclosure statement	Ad hoc	Paper Form	Mail	Borrower must sign and submit the promissory note or disclosure. By doing so, they agree to the terms of the FFEL consolidation	8

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Data Exchange Table - FFEL Consolidation

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Lender	Guaranty Agency	FFEL information (NSLDS manifest)	Quarterly	Flat File (Tape) or Flat File (Diskette)	Mail	Lender reports the new consolidated loan information on their regularly scheduled report	9
Guaranty Agency	NSLDS	FFEL information	Monthly (at least)	Flat File (Tape) or Flat File	Mail or SAIG	Guarantor reports the new consolidated loan information to NSLDS	10
Lender	Direct Loan Servicing Group	Paper check for underlying loan payoff	Ad hoc	N/A	Mail		11
DLSS	NSLDS	Direct Loan information	Weekly	Flat File	FTP	Underlying Direct Loan(s) reported as "paid due to consolidation"	12

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Data Exchange Table - FFEL Collections

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Lender	Borrower	Final Demand Letter	Ad hoc	Paper Form	Mail		1
Lender	Guaranty Agency	Default Claim Request/File	Ad hoc	Paper Form	Mail	This file must contain at a minimum: Claim Form, Application & Promissory Note, Assignment of the Loan to the guaranty agency, Out-of-school date information, and Cure information (if applicable)	4
Guaranty Agency	Lender	Returned Claim Request/File	Ad hoc	Paper Form	Mail	Must be returned within a certain number of days	7
Guaranty Agency	Lender	Default Claim Payment	Ad hoc	Paper Check or N/A	Mail or EFT		10
Guaranty Agency	NSLDS	FFEL information	Monthly (at least)	Flat File (Tape) or Flat File	Mail or SAIG	Guaranty agency will update NSLDS with FFEL information on a regularly scheduled basis	12

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Data Exchange Table - FFEL Collections

**Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows**

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	FMS	Reinsurance request for defaulted loans via GAFR (Form 2000)	Weekly, Monthly, Quarterly, Annually	Web Form or Flat File	Web Interface or FTP	GAFR (Form 2000) and VFA custom GAFR (Form 2000) extensions: Payment Financial Data sent monthly (weekly for VFAs) and non-payment financial data sent quarterly and annually	13
Guaranty Agency	FMS	Remittance of collected amounts on defaulted loans and Treasury Offset Program (TOP) information via GAFR (Form 2000)	Weekly, Monthly, Quarterly, Annually	Web Form or Flat File	Web Interface or FTP	GAFR (Form 2000) and VFA custom GAFR (Form 2000) extensions: Payment Financial Data sent monthly (weekly for VFAs) and non-payment financial data sent quarterly and annually	17
Guaranty Agency	Borrower Services (DCS)	Loan Subrogation Request	Ad hoc	Flat File (Tape) or Paper Form	Mail	Defaulted FFEL Loans to be subrogated and the necessary accompanying documentation	19

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Data Exchange Table - FFEL Collections

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Borrower Services (DCS)	Guaranty Agency	Results of loan subrogation request.	Ad hoc	Flat File (Tape) or Paper Form	Mail	If the loan was rejected, the documentation submitted will be returned to the guaranty agency	22 and 23
Borrower Services (DCS)	NSLDS	Defaulted FFEL information	Weekly	Flat File (Tape)	Mail		24

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Data Exchange Table - FFEL Reporting

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Lender	Guaranty Agency	FFEL Information	Quarterly (at least)	Flat File (Tape) or Paper Form	Mail	Detailed loan-level information such as, borrower demographics, origination and disbursement information, and loan status	1
Guaranty Agency	NSLDS	FFEL Information	Monthly (at least)	Flat File (Tape) or Flat File	Mail or SAIG	Detailed loan-level information such as, borrower demographics, origination and disbursement information, and loan status	3
NSLDS	Guaranty Agency	Acknowledgement/ Error File	Monthly (at least)	Flat File (Tape) or Flat File	Mail or SAIG	Informs the guaranty agency that records were received and notifies them of any error records that need to be resubmitted	7

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Data Exchange Table - FFEL Enrollment Reporting

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
NSLDS	School/Service	Student enrollment roster file	Ad hoc	Flat File or Flat File (Tape) or Web Form	SAIG or Mail or Web Interface		2
School/Service	NSLDS	Student enrollment information updates	Ad hoc	Flat File or Flat File (Tape) or Web Form	SAIG or Mail or Web Interface	Schools must submit at least twice per year, but most choose to do it every 60 days to comply with regulations	3
NSLDS	School/Service	Acknowledgement/Error File	Ad hoc	Flat File or Flat File (Tape) or Web Form	SAIG or Mail or Web Interface		9, 11
NSLDS	Guaranty Agency	Student enrollment information updates	Weekly	Flat File or Flat File (Tape)	SAIG or Mail	NSLDS sends GAs enrollment data received from Schools/Service	13
Guaranty Agency	Lender	Student enrollment information updates	Monthly (at least)	Flat File (Tape) or Paper Form	Mail		14

Current as of 03/23/04



Data Exchange Table - Lender Payment Processing

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Lender	FMS	Lender submits LaRS form	Quarterly	Web Form or Flat File or Paper Form	Web Interface or FTP or mail		2
FMS	ED CFO	Lender's LaRS payments are sent to ED CFO for certification	Ad hoc (On-demand)	Flat File	FTP		6
ED CFO	Treasury	LaRS payments are forwarded to Treasury for payment	Ad hoc (On-demand)	Flat File	FTP		6
FMS	Lender	Payment notification	Quarterly	Email Message	Email		7
Treasury	ED CFO/FMS	Confirmations of disbursements	Ad hoc (On-demand)	On-line	GOALS		8
FMS	Lender	Notification requesting payment	Quarterly	Email message	Email		10
Lenders	Mellon Bank Lockbox	Payment (check) and supporting data	Daily	Paper Form	Mail		11
Lockbox (LaRS)	FMS	Cash Receipts by Mellon Bank from lenders	Daily	Flat File	EAI		11
FMS	Data Mart	Lender related information	Monthly	N/A	Database Link		N/A
FMS	NSLDS	Special Allowance and Interest Benefit information	Quarterly	Flat File	FTP		N/A

Current as of 03/23/04



Data Exchange Table - Lender Payment Processing

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
FMS	PEPS	There are two files: - One file contains lender demographic data - One file contains lender portfolio data	Daily	Flat File	EAI		N/A

Current as of 03/23/04



Data Exchange Table - Guaranty Agency Payment Processing

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	FMS	GAFR (Form 2000) and VFA custom GAFR (Form 2000) extensions: Payment Financial Data sent monthly (weekly for VFAs) and non-payment financial data sent quarterly and annually.	Weekly, Monthly, Quarterly, Annually	Web Form or Flat File	Web Interface or FTP		1
FMS	ED CFO	GAFR (Form 2000) Invoices are batched and forwarded to ED CFO - accounting file and payment file.	Monthly	Flat File	FTP		16
ED CFO	Treasury	Accounting file and payment file created based on the data in GAFR (Form 2000).	Monthly	Flat File	FTP		17
Treasury	ED CFO/FMS	Confirmations of disbursement are sent to FMS via ED CFO.	Monthly	Flat File	FTP		18
NSLDS	FMS	AMF: Original Principal Balance of all open loans in each GA's portfolio is sent to FMS so the Account Maintenance Fee can be calculated.	Annually	Flat File	FTP		32

Current as of 03/23/04



Data Exchange Table - Guaranty Agency Payment Processing

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
NSLDS	FMS	LPIF: Guaranty Agency disbursement amount sent to FMS so the Loan Processing Issuance Fee can be calculated.	Quarterly	Flat File	FTP		32



Data Exchange Table - Cohort Default Rate Calculations for Lenders and Guaranty Agency

Integrated Partner Management Solution
Requirements Gap Analysis
Financial Partners Channel As-Is Flows

From	To	What is Transferred	Frequency	File Format	Transfer Method	Details	Corresponding Process Step
Guaranty Agency	NSLDS	Guaranty agency send FFEL data that reflects activity on the loans (this data includes limited eligibility information such as academic level and enrollment period)	Monthly	Flat File (Tape) or Flat File	Mail or SAIG		N/A
NSLDS	PEPS	Draft cohort default rates	Annually	Flat File	FTP		1
NSLDS	FP Services	Draft cohort default rates	Annually	Paper Form	Mail		1
FP Services	Guaranty Agency	Draft cohort default rates letter	Annually	Paper Form	Mail		5
NSLDS	PEPS	Official cohort default rates	Annually	Flat File	FTP		12
FP Services	Guaranty Agency	Press Package that includes official cohort default rates	Annually	Paper Form	Mail		14

Current as of 03/23/04